

10/12/01  
1C879 U.S. PTO10/12/01  
1C879 U.S. PTO10/12/01  
1C879 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No	1650-003
First Inventor	Martyn RYDER
Title	CONTAINMENT ASSEMBLY
Express Mail Label No	EL889189488US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 26]  
(preferred arrangement set forth below)  
 - Descriptive title of the Invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C.113) [Total Sheets 5 ]

5. Oath or Declaration [Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for a continuation/divisional with Box 18 completed)  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 C.F.R. §3.73(b) Statement  Power of (when there is an assignee) Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

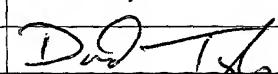
Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /  
 Prior application information: Examiner \_\_\_\_\_ Group / Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or  Correspondence address below  
(Insert Customer No. or Attach bar code label here) ..

Name	Sullivan Law Group				
Address	5060 North 40th Street Suite 120				
City	Phoenix	State	AZ	Zip Code	85018
Country	U.S.A.	Telephone	602 956 6161		Fax 602 956 6262

Name (Print/Type)	David S. Taylor	Registration No. (Attorney/Agent)	39,045
Signature			Date December 21, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

# FEE TRANSMITTAL for FY 2002

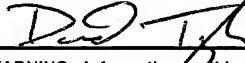
*Patent fees are subject to annual revision*

**TOTAL AMOUNT OF PAYMENT** (\$ 780

<i>Complete if Known</i>	
Application Number	Not Yet Assigned
Filing Date	December 21, 2001 (herewith)
First Named Inventor	Martyn RYDER
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No	1650-003

<b>METHOD OF PAYMENT (check one)</b>					<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to</p> <p>Deposit Account Number <input type="text" value="501324"/></p> <p>Deposit Account Name <input type="text" value="Sullivan Law Group"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>					<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th colspan="2" style="text-align: center;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td colspan="2">Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td colspan="2">For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td colspan="2">Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td colspan="2">Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td colspan="2">Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td colspan="2">Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td colspan="2">Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td colspan="2">Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td colspan="2">Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td colspan="2">Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td colspan="2">Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td colspan="2">Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td colspan="2">Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td colspan="2">Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td colspan="2">Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td colspan="2">Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td colspan="2">Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td colspan="2">Processing fee under 37 CFR 1.17 (q)</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td colspan="2">Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td colspan="2">Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td colspan="2">Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td colspan="2">For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td colspan="2">Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td colspan="2">Request for expedited examination of a design application</td></tr> <tr><td colspan="6" style="text-align: center;">Other fee (specify)</td></tr> <tr> <td colspan="5" style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;">SUBTOTAL (3) (\$ 40</td> </tr> </tbody> </table>					Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17 (q)		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify)						*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 40
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid																																																																																																																																																																																														
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																															
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																															
139	130	139	130	Non-English specification																																																																																																																																																																																															
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																															
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																															
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																															
115	110	215	55	Extension for reply within first month																																																																																																																																																																																															
116	400	216	200	Extension for reply within second month																																																																																																																																																																																															
117	920	217	460	Extension for reply within third month																																																																																																																																																																																															
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																															
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																															
119	320	219	160	Notice of Appeal																																																																																																																																																																																															
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																															
121	280	221	140	Request for oral hearing																																																																																																																																																																																															
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																															
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																															
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																															
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																															
143	460	243	230	Design issue fee																																																																																																																																																																																															
144	620	244	310	Plant issue fee																																																																																																																																																																																															
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																															
123	50	123	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																																																															
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																															
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																															
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																															
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																															
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																															
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																															
Other fee (specify)																																																																																																																																																																																																			
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 40																																																																																																																																																																																														
<p>2. <b>EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>18</td> <td>-20 **</td> <td>= 0</td> <td>X 18 = 0</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>-3 **</td> <td>= 0</td> </tr> <tr> <td>Multiple Dependent</td> <td>0</td> <td></td> <td>X 280 = 0</td> </tr> </tbody> </table> <p><b>3. BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td>740</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (1)</b> (\$ 740</p> <p><b>4. EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <p><b>SUBTOTAL (2)</b> (\$ 0</p>					Total Claims	Extra Claims	Fee from below	Fee Paid	18	-20 **	= 0	X 18 = 0	Independent Claims	1	-3 **	= 0	Multiple Dependent	0		X 280 = 0	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	740	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim, if not paid		109	84	209	42	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																												
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																
18	-20 **	= 0	X 18 = 0																																																																																																																																																																																																
Independent Claims	1	-3 **	= 0																																																																																																																																																																																																
Multiple Dependent	0		X 280 = 0																																																																																																																																																																																																
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																
101	740	201	370	Utility filing fee	740																																																																																																																																																																																														
106	330	206	165	Design filing fee																																																																																																																																																																																															
107	510	207	255	Plant filing fee																																																																																																																																																																																															
108	740	208	370	Reissue filing fee																																																																																																																																																																																															
114	160	214	80	Provisional filing fee																																																																																																																																																																																															
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																
103	18	203	9	Claims in excess of 20																																																																																																																																																																																															
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																															
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																															
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																																															
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																															

\*\*or number previously paid, if greater, For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	David S Taylor	Registration No. Attorney/Agent)	39,045	Telephone	202 661 6114
Signature				Date	December 21, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

12/21/01  
JC961 U.S. PTO  


12-31-01

A

12/21/01  
JC87 10/026537 PTO  


# Sullivan Law Group

A Professional Corporation

5060 North 40th Street, Suite 120  
Phoenix, Arizona 85018-2140  
Telephone (602) 956-6161  
Facsimile (602) 956-6262

Patents, Trademarks, Copyrights,  
Related Intellectual Property Law  
Federal Government Procurement Law

1200 G Street, N.W., Suite 800  
Washington, D.C. 20005  
Telephone (202) 661-6114  
Facsimile (202) 661-6117

December 21, 2001

*Via Express Mail*

BOX PATENT APPLICATION  
Assistant Commissioner  
for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**Re: *Filing New Utility Patent Application Entitled  
"CONTAINMENT ASSEMBLY"***  
**Our Ref.: 1650-003**

Dear Sir:

We hereby submit the following documents in connection with the filing of this new utility patent application entitled, "CONTAINMENT ASSEMBLY," inventor Martyn RYDER, an individual, residing at Oakwood House, Bowling Alley, Brighouse, HD6 3ET, United Kingdom (nationality: GB):

1. Application for Patent Cover Sheet (1 sheet);
2. Specification and Claims (25 pages) including 1 independent claim and 18 claims total;
3. Abstract of the Disclosure (1 page);
4. Informal drawing figures (5 figures on 5 sheets);
5. Declaration (2 page);
6. Power of Attorney (1 page);
7. Formal drawings (5 figures on 5 sheets);

Assistant Commissioner  
for Patents  
December 21, 2001  
Page 2

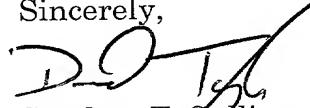
8. Assignment, with Assignment Recordation Cover Sheet (3 pages);
9. Fee transmittal (1 page, 2 copies);
10. Check for \$780, covering the \$740 filing fee and \$40 recordation fee;  
and
11. Postcard for receipt acknowledgment.

This application claims priority of UK patent application no. 0101299.6 filed on 28 January 2001, the complete disclosure of which is incorporated into the attached application.

Please accord this application a serial number and the filing date of **December 21, 2001**.

Please give us a call if you have any questions or wish to discuss this matter.

Sincerely,

  
Stephen T. Sullivan  
David S. Taylor

STS/DST/lam  
Enclosures

Express Mail Label No. EL889189488US  
Date of Deposit December 21, 2001  
I hereby certify that the attached application and other attachments are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed, BOX PATENT APPLICATION, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

